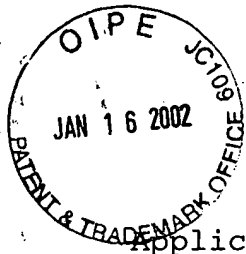


RECEIPT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Tsuen-Hsi Liu et al. Art Unit: Unknown
 Serial No.: 09/924,218 Examiner: Unknown
 Filed : August 7, 2001
 Title : APPARATUS AND METHOD FOR STORING AND/OR READING DATA
 ON AN OPTICAL DISK

Commissioner for Patents
 Washington, D.C. 20231

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Please correct the Filing Receipt for the above-referenced application to correct the inventor Fao H. Mok, Torrance, Ca to read **Fai H. Mok, Torrance, CA.**

Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes in red ink is attached for your convenience.

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

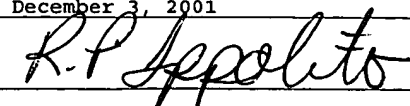
Date: 12/3/01


 Scott C. Harris
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 REG. NO. 40,001

Fish & Richardson P.C.
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 4350 La Jolla Village Drive, Suite 500
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APPLICATION NUMBER	FILING DATE	GRP. A/T UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/924,218	08/07/2001	2651	355	06618-816002	19	1	1

CONFIRMATION NO. 3423

FILING RECEIPT



C000000006843325

SCOTT C. HARRIS
Fish & Richardson P.C.
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4350 La Jolla Village Drive
San Diego, CA 92122

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Record: _____
P44003151

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Tsuen-Hsi Liu, Calabasas, CA;
Demetri Psaltis, Pasadena, CA;
Fao H. Mok, Torrance, CA;
Gan Zhou, Pasadena, CA;

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OCT 10 2001
Fao H. Mok

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Due Date: _____
Deadline: _____
Initials: _____

Assignment For Published Patent Application

California Institute of Technology;

FISH & RICHARDSON P.C.
LA JOLLA, CA

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 08/427,721 04/21/1995 PAT 6,272,095
WHICH IS A CIP OF 08/279,492 07/22/1994 ABN

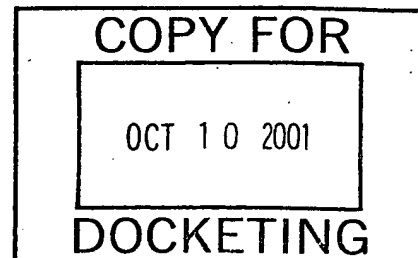
Foreign Applications

If Required, Foreign Filing License Granted 10/03/2001

Projected Publication Date: 01/10/2002

Non-Publication Request: No

Early Publication Request: No



**** SMALL ENTITY ******Title**

Apparatus and method for storing and/or reading data on an optical disk

Preliminary Class

369

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Bib Data Sheet

CONFIRMATION NO. 3423

SERIAL NUMBER 09/924,218	FILING DATE 08/07/2001 RULE	CLASS 369	GROUP ART UNIT 265T 2653	ATTORNEY DOCKET NO. 06618-816002
APPLICANTS Tsuen-Hsi Liu, Calabasas, CA; Demetri Psaltis, Pasadena, CA; Fai H. Mok, Torrance, CA; Gan Zhou, Pasadena, CA;				
** CONTINUING DATA ***** THIS APPLICATION IS A DIV OF 08/427,721 04/21/1995 PAT 6,272,095 WHICH IS A CIP OF 08/279,492 07/22/1994 ABN				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 10/03/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature Initials		STATE OR COUNTRY CA	SHEETS DRAWING 19	TOTAL CLAIMS 1
INDEPENDENT CLAIMS 1				
ADDRESS SCOTT C. HARRIS Fish & Richardson P.C. Suite 500 4350 La Jolla Village Drive San Diego ,CA 92122				
TITLE Apparatus and method for storing and/or reading data on an optical disk				
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